

22883

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/675,565
Filing Date	Sep 30, 2003
First Named Inventor	Varma, Subir
Art Unit	NYA
Examiner Name	NYA
Attorney Docket Number	164.1002.08

Total Number of Pages in This Submission

5+

**ENCLOSURES (check all that apply)**

- |   |  |  |
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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>PTO Forms SB/08A (2)<br>Copies of References<br>Return Postcard |
|---|--|--|

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Steven A. Swernofsky	Reg. No. 33,040
Signature	<i>SA Swernofsky</i>	
Date	APR. 2, 2004	

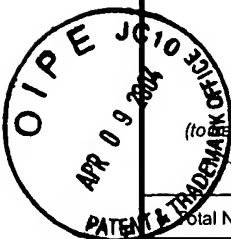
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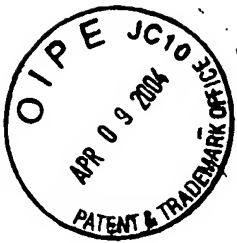
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Type or printed name	Dude Gaur		
Signature	<i>Dude Gaur</i>	Date	4/6/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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22883

Attorney Docket 164.1002.08

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Varma

Serial No. 10/675,565

Filed: 9/30/2003

For: Adaptive Link Layer for Point to  
Multipoint Communication System

Art Unit: NYA

Examiner: NYA

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited  
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Date Name

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner  
for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted under:

- ☒ 37 CFR 1.97(b)  
(Within three months of the national application filing or the entry of international  
application, OR before the mailing date of first Office Action, whichever occurs last.)
- ☐ 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e)  
or ☐ \$180.00 fee set forth in 37 CFR 1.17(p)  
(After the CFR 1.97(b) time period, but before final Office Action or Notice of Allowance,  
whichever occurs first.)
- ☐ 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e)  
and ☐ \$180.00 fee set forth in 37 CFR 1.17(p)  
(After the CFR 1.97(c) time period, but before payment of the Issue fee.)

**List of Documents**

Applicant(s) submits herewith:

- ☒ Form PTO SB/08A (Information Disclosure Statement by Applicant) listing patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.
- ☐ Form PTO SB/08B (Information Disclosure Statement by Applicant) listing non-patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.

**Copies of Documents**

- ☒ Applicant(s) submits herewith copies of all listed documents.
- ☐ Copies of the listed documents are not included with this filing. Copies of these documents were previously submitted in the following related application:

Docket No.: \_\_\_\_\_  
Serial No.: \_\_\_\_\_  
Filed: \_\_\_\_\_

THE EXAMINER IS ENCOURAGED AND EXHORTED TO MAKE HIS OR HER OWN INDEPENDENT EVALUATION OF POSSIBLE RELEVANCE OF THE LISTED DOCUMENTS.

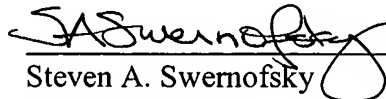
At the present time, Applicant(s) submits that the listed document(s), taken alone or in combination, neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

In the event fees are required, authorization is hereby granted to charge these fees to Deposit Account No. 50-0365.

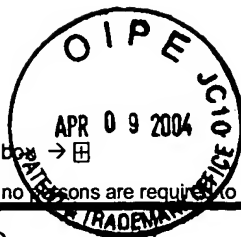
It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Date: APR. 2, 2004

  
Steven A. Swernofsky  
Reg. No. 33,040

Swernofsky Law Group  
P.O. Box 390013  
Mountain View, CA 94039-0013  
(650) 947-0700



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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/675,565
Filing Date	9/30/2003
First Named Inventor	Varma
Group Art Unit	NYA
Examiner Name	NYA
Attorney Docket Number	164.1002.08

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	5,546,397	A	Mahany	08-13-1996	
	2	5,546,411	A	Leitch et al.	08-13-1996	
	3	5,553,316	A	Diepstraten et al.	09-03-1996	
	4	5,598,417	A	Crisler et al.	01-28-1997	
	5	5,625,875	A	Whinnett et al.	04-29-1997	
	6	5,666,399	A	Bales et al.	09-09-1997	
	7	5,669,066	A	Borg et al.	09-16-1997	
	8	5,729,531	A	Raith et al.	03-17-1998	
	9	5,808,760	A	Gfeller	09-15-1998	
	10	5,859,839	A	Ahlenius et al.	01-12-1999	
	11	5,896,411	A	Ali et al.	04-20-1999	
	12	6,006,073	A	Glauner et al.	12-21-1999	
	13	6,108,561	A	Mallinckrodt	08-22-2000	
	14	6,236,647	B1	Amalfitano	05-22-2001	
	15	6,452,915	B1	Jorgensen	09-17-2002	
	16	6,636,488	B1	Varma	10-21-2003	
	17	6,643,322	B1	Varma et al.	11-04-2003	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	1	WO	98/59523	A2	Gorsuch et al.	12-30-1998		
	2	WO	99/18685	A1	Alfano et al.	04-15-1999		
	3	WO	00/13362	A1	Eichinger et al.	03-09-2000		
	4	WO	00/22865	A2	Malmgren et al.	04-20-2000		
	5	WO	01/50633	A1	Varma et al.	07-12-2001		
	6	WO	01/50669	A1	Majidi-Ahi et al.	07-12-2001		
	7	WO	02/05493	A2	Majidi-Ahy	01-17-2002		
	8	WO	02/13447	A2	Majidi-Ahy et al.	02-14-2002		
	9	WO	02/25856	A2	Varma et al.	03-28-2002		
	10	EP	0 794 631	A2	Takeuchi et al.	09-10-1997		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/675,565
				Filing Date	9/30/2003
				First Named Inventor	Varma
				Group Art Unit	NYA
				Examiner Name	NYA
				Attorney Docket Number	164.1002.08
Sheet	2	of	2		

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Examiner Signature		Date Considered	
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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